

Competitive Electric Power Supplier Application Form

This form may be used to: (1) apply for initial registration as a competitive electric power supplier (CEPS) in New Hampshire, (2) apply for renewal of registration as a CEPS in New Hampshire, and (3) notify the Commission of any changes to information in a previously filed CEPS application. This form is provided as a convenience for filing only; you are required to provide all information specified under Puc 2006.01 when applying for initial or renewal registration as a CEPS, but you are not required to use this form when doing so.

	Indicate whether this application is for an initial registration or for a renewal. Initial 🗌 Renewal 🖂							
	Applicant's General Information							
Puc 2006.01(a)	Legal Name	EDF Energy Services, LLC						
	Trade Name (d/b/a) (if applicable)							
Puc 2006.01(b)	Business Mailing Address	601 Travis Street, Suite 1700						
		Houston, TX 77002						
	Telephone Number	877-432-4530						
	E-Mail Address							
	Website Address	www.edfenergyna.com						
Puc 2006.01(c)	Provide the state or jurisdiction	of organization, if anything other than an individual.	DE					
Puc 2006.01(d)		isiness address(es), telephone number(s), and e-mail address(es) of t principal(s)1 if it is anything other than an individual. Use additional s						
	Name	Deborah Merril						
	Title	President						
	Business Mailing Address	601 Travis St., Suite 1700						
		Houston, TX 77002						
	Telephone Number							
	E-Mail Address	deborah.merril@edfenergyna.com						
	Name	Terry Nutt						
	Title	Chief Financial Officer	Chief Financial Officer					
	Business Mailing Address	601 Travis St., Suite 1700						
		Houston, TX 77002						
	Telephone Number	281-781-0333						
	Email Address	terry.nutt@edfenergyna.com						
	Name	Gerald Nemec						
	Title	General Counsel & Secretary						
	Business Mailing Address	601 Travis Street, Suite 1700						
		Houston, TX 77002						
	Telephone Number	281-781-0333						
	E-Mail Address	gerald.nemec@edfenergyna.com						

^{1 &}quot;Principals" means, for a corporation, any of its officers, directors, or controlling shareholders, for a limited liability company, any of its managers or controlling members, for a partnership, any of its general partners, and for any other business entity, any of its personnel exercising executive functions and any of its controlling equity owners.



	Affiliates and Subsidiaries							
Puc 2006.01(e)	Provide the following information regarding any affiliates2 and subsidiaries of the applicant that are conducting business in New Hampshire. Use additional sheets as needed.							
	Name of Entity	N/A						
	Business Address							
	Telephone Number							
	Provide a description of the business purpose of the entity.							
	Provide a description of any agreements with any affiliated New Hampshire utility, and the docket number relative to the filing of any such agreements with the Commission.							
	Name of Entity							
	Business Address							
	Telephone Number							
	Provide a description of the business purpose of the entity.							
	Provide a description of any agree filing of any such agreements with	ements with any affiliated New Hampshire utility, and the docket number relative to the n the Commission.						

^{2 &}quot;Affiliate" means any of the following:

⁽a) Any person or entity that directly or indirectly owns, controls, or holds with power to vote a majority of the outstanding voting securities or such minority thereof as to give such person substantial control of another person or entity;

⁽b) Any person or entity that is directly or indirectly owned, controlled, or held by any person or entity described in (a) above through either power to vote a majority of the outstanding voting securities or such a minority so as to maintain substantial control of such person or entity;

⁽c) Any person or entity with which another person or entity has a management or service contract or arrangement that provides such person or entity with effective control over the management, supervision, or operation of the other person or entity; or (d) Any person or entity who or which actually exercises effective control over the management, supervision, or operation, or operation of another person or entity.



New Hampshire Public Utilities Commission 21 South Fruit Street, Suite 10 Concord, NH 03301-2429 603-271-2431 www.puc.nh.gov

	Customer Service Department Contact						
Puc 2006.01(f)	Name	Drew Fedorko					
	Title	Manager, Customer Care					
	Toll-Free Telephone Number (if available)	877-432-4530					
	Telephone Number						
	E-Mail Address	CustomerCare@edfenergyna.com OR drew.fedorko@edfenergyna.com					

	Customer Complaints Contact					
Puc 2006.01(g)(1)	Name	Drew Fedorko				
	Title	Manager, Customer Care				
	Business Mailing Address	601 Travis St., Suite 1700				
	Telephone Number	Houston, TX 77006				
		281-653-1735				
	E-Mail Address	Customer.Care@edfenergyna.com OR drew.fedorko@edfenergyna.com				

	Regulatory Compliance Matters Contact						
Puc 2006.01(g)(2)	Name	J. Lance Hitt					
	Title	Regulatory Analyst					
	Business Mailing Address	601 Travis St., Suite 1700					
		Houston, TX 77002					
	Telephone Number	281-653-1039					
	E-Mail Address	Grp_Hou_Regulatory@edfenergyna .com OR lance.hitt@edfenergyna.com					

	Commission Assessment Payments Contact						
Puc 2006.01(g)(3)	Name	J. Lance Hitt					
	Title	Regulatory Analyst					
	Business Mailing Address	601 Travis St., Suite 1700					
		Houston, TX 77002					
	Telephone Number	281-653-1039					
	E-Mail Address	Grp_Hou_Regulatory@edfenergyna .com OR lance.hitt@edfenergyna.com					



Se	Separate Attachments: Business Authority, Trade Name, ISO-NE Market Participation, and EDI Certification					
Puc 2006.01(h)	Provide, as a separate attachment, evidence of the applicant's authorization to do business in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following:					
	(1) a recent printout of the applicant's listing on the N.H. Secretary of State website with the status "In Good Standing" or words of similar import; or					
	(2) a copy of a certificate from the N.H. Secretary of State's office stating that the applicant is authorized to do business in New Hampshire.					
Puc 2006.01(i)	Provide, as a separate attachment, evidence of the applicant's registration of the trade name, if any, to be used by the applicant in New Hampshire from the New Hampshire secretary of state by submitting, with this application form, either of the following:					
	(1) A recent printout of the applicant's trade name on the N.H. Secretary of State website with the status "Active" and indicating that the trade name is owned by the applicant; or					
	(2) A copy of a certificate from the N.H. Secretary of State's office indicating that the applicant has registered as doing business under the trade name.					
Puc 2006.01(j)	Provide, as a separate attachment, evidence of the applicant's ISO New England market participant membership.					
Puc 2006.01(k)	Provide, as a separate attachment, electronic data interchange (EDI) certification from each electric distribution utility in whose franchise area the applicant intends to operate.					

	Franchise Areas, Customer Types to be Served, and Other States
Puc 2006.01(I)	List the utility franchise areas in which the applicant intends to operate and, to the extent the applicant does not intend to provide service in the entire franchise area of a utility, a delineation of the cities and towns where the applicant intends to provide service within each utility franchise area.
	EVERSOURCE-NH-RATES GV, LG; LIBERTY UTILITIES- RATES G1, G2; UNITEL-RATES, G1, G2
Puc 2006.01(m)	Provide a statement whether or not the applicant intends to serve the following types of customers: residential, small commercial, large commercial, and industrial.
	EDF ENERGY SERVICES, LLC INTENDS TO SERVE LARGE COMMERICAL AND INDUSTRIAL CUSTOMERS
Puc 2006.01(n)	List the other states or jurisdictions in which the applicant currently conducts business relating to the sale of electricity.
	CA, CT, DE, DC, IL, ME, MD, MA, MI, NH, NJ, NY, OH, OR, PA, RI, TX



	Customer Complaints
Puc 2006.01(o)	Use either the table below or a separate attachment to provide a list disclosing the number and type of customer complaints concerning the applicant or its principals and affiliates filed with or by any commission or regulatory agency, attorney general's office, or other governmental consumer protection regulatory authority, for the most recent 2 calendar years in every state or other jurisdiction in which the applicant has conducted business relating to the sale of electricity.
	In the table below, enter abbreviations of applicable states or the jurisdiction across the top row, complaint types in the left column, and, for each cell in the table, the number of complaints for each type within the applicable state or jurisdiction.

	[(enter a	applicable	states/juri	sdictions	in row just	t below)			
		СТ						-	,			
Complaint Type												Total
BILLING	ĺ	1										1
	ľ											0
												0
												0
												0
												0
	·											0
	ľ											0
												0
												0
												0
Total		1	0	0	0	0	0	0	0	0	0	1



	Statements Regarding Applicant and its Principals						
	Respond to each of the following questions with either "Yes" or "No."						
Puc 2006.01(p)(1)	Has applicant or any of its principals ever been convicted of any felony that has not been annulled by a court?	No					
Puc 2006.01(p)(2)	Has applicant or any of its principals, within the 10 years immediately prior to application, had any civil, criminal, or regulatory sanctions or penalties imposed against it, him, or her pursuant to any state or federal consumer protection law or regulation?	No					
Puc 2006.01(p)(3)	Has applicant or any of its principals, within the 10 years immediately prior to application, settled any civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No					
Puc 2006.01(p)(4)	Is applicant or any of its principals currently the subject of any pending civil, criminal, or regulatory investigation or complaint involving any state or federal consumer protection law or regulation?	No					
Puc 2006.01(p)(5)	Has applicant or any of its principals been denied authorization to provide competitive electricity supply service or electric aggregation service in any other state or jurisdiction?	No					
Puc 2006.01(q)	If an affirmative answer is provided to any item above, then provide a detailed explanation of the occur related circumstances. Use additional sheets as needed.	irrence and the					
	N/A						

	Telemarketing					
Puc 2006.01(s)						
	If the response to the question above is "Yes," then respond to the following three questions:					
Puc 2006.01(r)(1)	Will the applicant maintain a list of customers who request being placed on the applicant's do-no- call list for the purposes of telemarketing?					
Puc 2006.01(r)(2)	Will the applicant obtain monthly updated do-no-call lists from the National Do Not Call Registry?					
Puc 2006.01(r)(3)	Will the applicant NOT initiate calls to New Hampshire customers who have either requested being placed on the applicant's do-not-call list(s) or who are listed on the National Do Not Call Registry?					

	In-Person Solicitation of Residential Customers			
Puc 2006.01(u)	Does the applicant intend to enroll residential customers through in-person solicitation at the customer's residence? Respond with either "Yes" or "No."			
	If the response to the question above is "Yes," then provide the following items as separate attachme	ents:		
Puc 2006.01(t)(1)	A plan for in-person solicitation of residential customers at their residences, including provisions to ensure legal and regulatory compliance and quality assurance.			
Puc 2006.01(t)(2)	A description of the applicant's training program for employees or representatives who will conduct in-person solicitation of residential customers at their residences.			
Puc 2006.01(t)(3)	An identification of any third party vendor or vendors the applicant intends to use to conduct in-person solicitation of residential customers at their residences.			
Puc 2006.01(t)(4)	A copy of the applicant's performance standards and code of conduct for any employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences.			
Puc 2006.01(t)(5)	A copy of field audit standards used to evaluate the performance of employees, representatives, or vendors who or which will conduct in-person solicitation of residential customers at their residences.			



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	Sample Bill Form	
Puc 2006.01(v)	Does the applicant intend to use only the utility's billing service? Respond with either "Yes" or "No."	YES
Puc 2006.01(v)	If the response to the question above is "No," then provide a sample of the bill form(s) the applicant in separate attachment.	tends to use as a

	Residential and Small Commercial Customer Contracts	
Puc 2006.01(w)	Does the applicant intend to serve residential and small commercial customers? Respond with either "Yes" or "No."	No
	If the response to the question above is "Yes," then provide the following item as a separate attachme	ent:
Puc 2006.01(w)	A copy of each contract to be used for residential customers and for small commercial customers, ind schedules or other documentation attached to, incorporated into, or referenced in such contract.	cluding any

	File Financial Security Instrument
	Refer to Puc 2003.03 for the financial security requirements.
Puc 2003.01(b)(2)	Provide an original executed financial security instrument that meets the requirements of Puc 2003.03.
	File the original, executed financial security instrument with the Executive Director. The financial security instrument can be filed separately from the application form, by U.S. mail, overnight express, or hand delivery.

	Submit Application Fee (For Initial Applications Only)
Puc 2003.01(b)(3)	For an application for initial registration, submit payment of the application fee in the amount of \$250.00 to the Executive Director by U.S. mail, overnight express, or hand delivery. Make check payable to: New Hampshire Public Utilities Commission.
	Note that there is no fee for a renewal application.

	Expected Marketing Start Date	
Puc 2006.01(x)	Provide the date upon which the applicant expects to commence marketing its services to customers in New Hampshire.	Date

	Attestation and Signature	
Puc 2006.01(y) and (z)	BY SIGNING BELOW, THE APPLICANT REPRESENTATIVE CERTIFIES THAT IT HAS THE AUTHORITY TO FILE THE APPLICATION ON BEHALF OF THE CEPS AND ATTESTS THAT THE CONTENTS OF THE APPLICATION ARE TRUTHFUL, ACCURATE, AND COMPLETE. Signature of the applicant or its authorized representative Name: DEBORAH MERRIL Title: PRESIDENT	03/01/2021 Date

 Mail an original and two paper copies of this form and all separate attachments to: Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301 E-mail a PDF of this form and all separate attachments to: Executive.Director@puc.nh.gov 	Filing Instructions				
		Executive Director, NHPUC, 21 South Fruit St., Suite 10, Concord, NH 03301 2) E-mail a PDF of this form and all separate attachments to:			

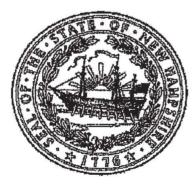
<u>Attachment 1</u> Proof of Registration with Secretary of State

State of New Hampshire Department of State

CERTIFICATE

I, William M. Gardner, Secretary of State of the State of New Hampshire, do hereby certify that EDF ENERGY SERVICES, LLC is a Delaware Limited Liability Company registered to transact business in New Hampshire on December 17, 2015. I further certify that all fees and documents required by the Secretary of State's office have been received and is in good standing as far as this office is concerned.

Business ID: 736185



IN TESTIMONY WHEREOF, I bareto set my hand and cause to be affixed the Seal of the State of New Hampshire, this 25th day of April A.D. 2017.

1

William M. Gardnar Secretary of State

	20 years ew england				SEA	RCH	
ISO ne	ew england		CALENDAR	LIBRARY	HELP	SIGN UP	SIGN IN
About Us	Participate	Committees and Groups	Syst	em Planning		Markets and	Operations

Participate > Participant and Asset Listings

Customer Directory

Search the directory for details on and contact information for the entities registered with ISO New England, as well as on the committees and subgroups advising the ISO. For example, you can find:

- Each customer's name, address, stock symbol(s), industry sector, industry type/classification, committee membership, and NEPOOL voting status (if the customer is a member of the New England Power Pool)
- Committee and subcommittee or working group names, member lists, and the company association of members
- Download a CSV file of the Customer Directory

As you type you will be offered suggested results. Use your keyboard arrows or mouse to navigate the results.

Company Details: EDF Energy Services, LLC

10850 Richmond Ave Sulte 120 Houston , TX 77042

Customer Details

CUSTOMER ID	SECTOR	TYPE	CLASSIFICATIO	N SUB- CLASSIF	ICATION	VOTING STATUS
126859	Supplier	Participa	nt Market Participant	t		N
Committee M	embers					
COMMITTEE NAME	ME NAI	MBER ME	TITLE	POSITION	ROLE	
Participant Ton Contact		y Mowbray	Sr. Power Trader	Membar	Alternate	
Participant Contact	Chr	is nitage	SVP Energy Optimization	Member	Member	r

Participant Related Persons

A Participant and its Related Persons (as defined in the Participants Agreement and Second Restated NEPOOL Agreement) are together entitled to join any one Sector and to have one vote in that Sector.

Votes for this company are cast by:

EDF Trading North America, LLC

This company also votes on behalf of:

None

Updating the Directory

Help keep the directory current — its accuracy is dependent on data in the ISO's Customer and Asset Management System (CAMS). To update data for your organization or committee, see:

· User guides for CAMS

· CAMS FAQs

Problems?

Contact Customer Support if you're having trouble with the directory.

APPLICATIONS GADS Reporting IRTT ISO Express MARKETS AND OPERATIONS FORECASTING Morning Report Sevon-Day Forecast Three-Day Forecast Power System Status



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Electronic Data Interchange (EDI) Certification

Unitil Energy Systems (UES)

Issued to:	EDF Energy Services, LLC
Represented by:	Cherie Fuller
Issued by:	Unitil Energy Systems
Represented by:	Caitlin D. Chaput, Associate Transaction Analyst
Date:	3/25/16

This is official notification of the successful completion of Electric EDI testing between Unitil Energy Systems and EDF Energy Services, LLC. As of 3/25/16, Unitil Energy Systems does hereby declare EDF Energy Services as a certified EDI trading partner capable of exchanging the following transactions:

810	Invoice
814	Change
814	Drop
814	Enrollment
814	Historical Usage Request
820	Payment Notification
867	Historical Usage
867	Monthly Usage
997	Functional Acknowledgement

EDF Energy Services has successfully satisfied all the requirements of connectivity with Unitil Energy Systems. EDF Energy Services has also proven through detailed transaction testing its understanding of the business rules and EDI formats required for account maintenance, and billing (dual and LDC rate-ready consolidated) as described by the New Hampshire Public Utilities Commission and using V12 version 4010 standards.

3/25

Caitlin D. Chaput Associate Transaction Analyst Unitil Service Corp. 6 Liberty Lane West Hampton, NH 03842-1720 EL_SupplierServices@unitil.com

Date

Liberty Utilities

205

COMPLETION OF EDI TES

This is to certify that on March 17th, 2016

EDF Energy Services, LLC.

completed all of the requirements of New Hampshire Code of Administrative Rules, Section PUC 2003.01(d)

Jorah Selbertson)

Deborah M. Gilbertson, Manager of Retail Choice Liberty Utilities (Granite State Electric) Corp. 15 Buttrick Rd, Londonderry NH 03053

(A)

Public Service Company of New Hampshire (d/b/a) Eversource Energy

Certificate of Completion

is hereby granted to:

EDF Energy Services, LLC

to certify that they have completed to satisfaction

NH Supplier Training

Granted: 04/01/16 aaron Vouring

Aaron Downing Eversource Supplier Services Public Service Company of New Hampshire (d/b/a) Eversource Energy

Certificate of Completion

is hereby granted to:

EDF Energy Services, LLC

to certify that they have completed to satisfaction

NH EDI Connectivity and Certification Testing

Granted: 05/25/16 alacon Downing

Aaron Downing Eversource Supplier Services



BANK OF AMERICA - CONFIDENTIAL PAGE: 1 DATE: OCTOBER 6, 2020 AMENDMENT TO IRREVOCABLE STANDBY LETTER OF CREDIT NUMBER: 3137698 APPLICANT REFERENCE NUMBER: 1607060919590878

AMENDMENT NUMBER 3

APPLICANT EDF ENERGY SERVICES, LLC 4700 W. SAM HOUSTON PARKWAY NORTH SUITE 250 HOUSTON, TX 77041, USA BENEFICIARY NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION 21 SOUTH FRUIT STREET, SUITE 10 CONCORD, NH 03301, USA

ISSUING BANK BANK OF AMERICA, N.A. 333 S. HOPE STREET 14TH FLOOR, CA9-193-14-53 LOS ANGELES, CA 90071-1406

THIS AMENDMENT IS TO BE CONSIDERED AN INTEGRAL PART OF THE ABOVE CREDIT AND MUST BE ATTACHED THERETO.

THE ABOVE MENTIONED CREDIT IS AMENDED AS FOLLOWS:

THE AMOUNT OF THIS CREDIT HAS BEEN INCREASED BY USD 300,000.00 THE AGGREGATE AMOUNT OF THE CREDIT IS NOW USD 500,000.00

THE EXPIRATION DATE IS AMENDED TO: OCTOBER 1, 2021.

THE EXPIRATION DATE OF THE LETTER OF CREDIT IS EXTENDED TO OCTOBER 1, 2021. THE CLAIMS MUST BE RECEIVED WITHIN SIX MONTHS FROM THE EXPIRY DATE, NO CLAIMS WILL BE HONORED BEYOND APRIL 1, 2022.

ALL OTHER TERMS AND CONDITIONS REMAIN UNCHANGED.

IF YOU REQUIRE ANY ASSISTANCE OR HAVE ANY QUESTIONS REGARDING THIS AMENDMENT, PLEASE CALL 800-370-7519 .

AUTHORIZED SIGNATURE

HUGO LAOS

THIS / DOCUMENT CONSISTS OF 1 PAGE (S).

ORIGINAL